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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that on September 24, 2002, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231

*Kurt Canada*  
Kurt Canada

**Applicant:** BOWMAN-AMUAH

**Serial No.:** 09/387,654

**Filed:** 08/31/1999

**Title:** SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR TRANSACTION SERVICES PATTERNS IN A NETCENTRIC ENVIRONMENT

**Atty. Docket No.:** 060021-327501

**Examiner:** Zeender, Florian M.

**Group Art Unit:** 3627

Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56**

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Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97, § 1.98, and the "Decision on Petition" described herein, Applicant brings the references listed on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. Due to the large number of references being cited and the logistical problems associated therewith, Applicant successfully petitioned the Commissioner for relief from the IDS requirements under 37 CFR 1.98(a)(2), requiring copies of each U.S. patent application publication, each U.S. and foreign patent, and each publication.

The petition was granted for a set of related patent applications, including the present application, to effect the waiver of 37 CFR 1.98(a)(2). The related patent applications are identified on the "List of Related Cases" attached hereto. The copy requirement was waived in favor of filing three separate paper copies of all cited references in a single "holding" application. The paper copies of the references cited on the attached Form PTO-1449 have been concurrently filed in the "holding" application," **Serial N . 09/386,834**, entitled "SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A LEGACY WRAPPER IN A COMMUNICATION SERV-

ICES PATTERNS ENVIRONMENT," filed 8/31/1999, our client/matter number 60021-324601 (AND1P246). Accordingly, examiner's cooperation with this matter is greatly appreciated. Specifically, should examiner require a paper copy of any of the cited references, applicant respectfully refers examiner to the aforementioned "holding" application, Serial No. 09/386,834.

The references listed on the attached Form PTO-1449 may be material to examination of the above-identified application. Please do not construe the filing of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. We enclose copies of the cited documents within a box herewith.

This Information Disclosure Statement is being submitted:

☒ 1. Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114, and therefore, Applicant believes no fee is required;

☐ 2. After the period specified in paragraph (1) hereinabove of this section, but is being filed before the mailing date of either a final action under 37 CFR 1.113, or a notice of allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of the following:

☐ (a) A statement that either:

(i) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;

OR

(ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement;

OR

☐ (b) The fee of \$180 for filing of an Information Disclosure Statement as set forth in 37 C.F.R. 1.17(p).

☐ 3. After the period specified in paragraph (2) of this section, but is filed on or before payment of the issue fee and is accompanied by both:



(a) A statement that either:

- (i) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;

OR

- (ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement;

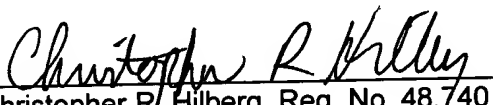


(b) The fee of \$180.00 for filing of an Information Disclosure Statement as set forth in 37 CFR 1.17(p).

Applicant would appreciate the Examiner initialing and signing a copy of Form PTO-1449, transmitted herewith, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1901 referencing order number 060021-327501.

September 24, 2002

  
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Attachments: Form PTO-1449  
Decision on Petition  
Petition Seeking Waiver of 37 CFR 1.98(a)(2) Requirements  
List of Related Cases